

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Yang, et al.

Serial No.: 10/627,336

**Confirmation No.: 2292**

Filed: July 24, 2003

For: Electrochemical Processing  
Cell

Group Art Unit: 1742

Examiner: Lois L. Zheng

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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November 20, 2006

Steven H. VerSteeg

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

The Commissioner is hereby authorized to charge the sum of \$180.00 due under 37 CFR § 1.17(p) pursuant to § 1.97, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/APPM/007669.Y1.P3/KMT.

Respectfully submitted,



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of

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**Complete if Known**

Application Number	10/627,336
Filing Date	July 24, 2003
First Named Inventor	Michael X. Yang
Art Unit	1742
Examiner Name	Lois L. Zheng
Attorney Docket Number	APPM/007669.P3.Y1/PPC/ECP/CKIM

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
A1	US-6964792		11/15/2005	Mayer	
A2	US-6558518		05/06/2003	Sendai	
A3	US-6415804		07/09/2002	Pascal, et al.	
A4	US-6258223		07/10/2001	Cheung, et al.	
A5	US-6251251		06/26/2001	Uzoh, et al.	
A6	US-6197182		03/06/2001	Kaufman, et al.	
A7	US-200110000396		04/26/2001	Dordi, et al.	
A8	US-20040016636		01/29/2004	Yang	
A9	US-200110032788		10/25/2001	Woodruff, et al.	
A10	US-20030070695		04/17/2003	Emami, et al.	
A11	US-20020096508		07/25/2002	Weaver, et al.	
A12	US-20040209414		10/21/2004	Mok	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
B1	WO 02/059398		08/01/2002	Sendai, et al.		
B2	WO 00/077278		12/21/2000	Dordi, et al.		
B3	WO 00/032835		06/08/2000	Dordi, et al.		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
C1		PCT International Search Report and the Written Opinion for International Application No. PCT/US04/12012 dated September 5, 2006. (APPM/007669PC02)	
C2		PCT International Search Report for International Application No. PCT/US03/23356 dated January 17, 2005. (APPM/7669PC)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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